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5	Facsimile: (415) 397-7188	RICHARD W. WIEKING CLERK, U.S. DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA
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12		
13	UNITED STATES DISTRICT COURT	
14	NORTHERN DISTR	ICT OF CALIFORNIA
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16	INTERTRUST TECHNOLOGIES CORPORATION,	Case No. C 01 1640 SBA
17	a Delaware corporation,	THIRD AMENDED COMPLAINT FOR INFRINGEMENT OF U.S. PATENT NOS.
18	Plaintiff,	6,185,683 B1; 6,253,193 B1; 5,940, 504; 5,920,861; 5,892,900; 5,982,891; AND
19	v	5,917,912.
20	MICROSOFT CORPORATION, a Washington corporation,	DEMAND FOR JURY TRIAL
21	Defendant.	
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23	·	
24		IES CORPORATION (hereafter "InterTrust")
25	hereby complains of Defendant MICROSOFT	CORPORATION (hereafter "Microsoft"), and
26	alleges as follows:	
27	JURISDICTION AND VENUE	
28	1. This action for patent infringement a	arises under the patent laws of the United States,
	2 LAM CARLETTON AUTOMOTOR TO THE TARREST	NT NOC 6 105 603 B1 6 253 103 B1 5 040 504 5 020 961.
	5,892,900; 5,982,891; & 5,917,9	NT NOS. 6,185,683 B1; 6,253,193 B1; 5,940,504; 5, 920,861; 912; CASE NO. C 01 1640 SBA

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patent"), duly and lawfully issued on April 6, 1999.

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- 12. InterTrust is the owner of United States Patent No. 5,982,891, entitled "Systems and methods for secure transaction management and electronic rights protection" ("the '891 patent"), duly and lawfully issued on November 9, 1999.
- 13. InterTrust is the owner of United States Patent No. 5,917,912 entitled "System and methods for secure transaction management and electronic rights protection" ("the '912 patent"), duly and lawfully issued on June 29, 1999.

FIRST CLAIM FOR RELIEF

- 14. InterTrust hereby incorporates by reference paragraphs 1-7 as if restated herein.
- 15. This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281.
- 16. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is infringing the '683 patent under § 271(a) by making and using systems incorporating Windows Media Player Versions 7 and 8. In addition, on information and belief, InterTrust alleges that Microsoft is making and using other systems and/or is in the process of developing other systems, which infringe the '683 patent under § 271(a). InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '683 patent under § 271(a) will continue unless enjoined by this Court.
- 17. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is knowingly and intentionally inducing others to infringe directly the '683 patent under § 271(a), thereby inducing infringement of the '683 patent under § 271(b). InterTrust is further informed and believes that Microsoft's inducement has at least included the manner in which Microsoft has promoted and marketed use of Windows Media Player Versions 7 and 8. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '683 patent under §271(b) will continue unless enjoined by this Court.
- 18. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is contributorily infringing the '683 patent under § 271(c) by providing digital rights management software and related functions especially made or especially adapted for infringing use and not staple articles or commodities of commerce suitable for substantial noninfringing

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use, including at least Windows Media Player Versions 7 and 8. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '683 patent under §271(c) will continue unless enjoined by this Court.

- InterTrust is informed and believes, and on that basis alleges, that Microsoft is 19. willfully infringing the '683 patent in the manner described above in paragraphs 16 through 18, and will continue to do so unless enjoined by this Court.
- InterTrust is informed and believes, and on that basis alleges, that Microsoft has 20. derived and received, and will continue to derive and receive from the aforesaid acts of infringement gains, profits, and advantages, tangible and intangible, the extent of which are not presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has been, and will continue to be, irreparably harmed.

SECOND CLAIM FOR RELIEF

- InterTrust hereby incorporates by reference paragraphs 1-6 and 8 as if restated 21. herein.
 - This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281. 22.
- InterTrust is informed and believes, and on that basis alleges, that Microsoft has 23. been and is infringing the '193 patent under § 271(a) by using Windows Media Player Versions 7 and 8. In addition, on information and belief, InterTrust alleges that Microsoft is making and using other systems and/or is in the process of developing other systems, which infringe the '193 patent under § 271(a). InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '193 patent under §271(a) will continue unless enjoined by this Court.
- InterTrust is informed and believes, and on that basis alleges, that Microsoft has 24. been and is knowingly and intentionally inducing others to infringe directly the '193 patent under § 271(a), thereby inducing infringement of the '193 patent under § 271(b). InterTrust is further informed and believes that Microsoft's inducement has at least included the manner in which Microsoft has promoted and marketed use of Windows Media Player Versions 7 and 8. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's

infringement of the '193 patent under §271(b) will continue unless enjoined by this Court.

- 25. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is contributorily infringing the '193 patent under § 271(c) by providing digital rights management software and related functions especially made or especially adapted for infringing use and not staple articles or commodities of commerce suitable for substantial noninfringing use, including at least Windows Media Player Versions 7 and 8. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '193 patent under §271(c) will continue unless enjoined by this Court.
- 26. InterTrust is informed and believes, and on that basis alleges, that Microsoft is willfully infringing the '193 patent in the manner described above in paragraphs 23 through 25, and will continue to do so unless enjoined by this Court.
- 27. InterTrust is informed and believes, and on that basis alleges, that Microsoft has derived and received, and will continue to derive and receive from the aforesaid acts of infringement gains, profits, and advantages, tangible and intangible, the extent of which are not presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has been, and will continue to be, irreparably harmed.

THIRD CLAIM FOR RELIEF

- 28. InterTrust hereby incorporates by reference paragraphs 1-6 and 9 as if restated herein.
 - 29. This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281.
- 30. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is infringing the '504 patent under § 271(a) by Microsoft's use of the Product Activation feature of Windows XP, Office XP, and other Microsoft products. In addition, on information and belief, InterTrust alleges that Microsoft is making and using other systems and/or is in the process of developing other systems, which infringe the '504 patent under § 271(a). InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '504 patent under § 271(a) will continue unless enjoined by this Court.
 - 31. InterTrust is informed and believes, and on that basis alleges, that Microsoft has

been and is knowingly and intentionally inducing others to infringe directly the '504 patent under § 271(a), thereby inducing infringement of the '504 patent under § 271(b). InterTrust is further informed and believes that Microsoft's inducement has at least included the manner in which Microsoft has promoted and marketed use of the Product Activation feature of Windows XP, Office XP, and other Microsoft products. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '504 patent under §271(b) will continue unless enjoined by this Court.

- 32. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is contributorily infringing the '504 patent under § 271(c) by providing digital rights management software and related functions especially made or especially adapted for infringing use and not staple articles or commodities of commerce suitable for substantial noninfringing use, including the Product Activation feature of Windows XP, Office XP, and other Microsoft products. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '504 patent under §271(c) will continue unless enjoined by this Court.
- 33. InterTrust is informed and believes, and on that basis alleges, that Microsoft is willfully infringing the '504 patent in the manner described above in paragraphs 30 through 32, and will continue to do so unless enjoined by this Court.
- 34. InterTrust is informed and believes, and on that basis alleges, that Microsoft has derived and received, and will continue to derive and receive from the aforesaid acts of infringement gains, profits, and advantages, tangible and intangible, the extent of which are not presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has been, and will continue to be, irreparably harmed.

FOURTH CLAIM FOR RELIEF

- 35. InterTrust hereby incorporates by reference paragraphs 1-6 and 10 as if restated herein.
 - 36. This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281.
- 37. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is infringing the '861 patent under § 271(a) by making, using, selling, and offering for

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sale digital rights management software incorporating inventions claimed in the '861 patent, including but not limited to the Digital Asset Server and Microsoft Reader. In addition, on information and belief, InterTrust alleges that Microsoft is making and using other systems and/or is in the process of developing other systems, including Microsoft's .NET architecture, which infringe the '861 patent under § 271(a). InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '861 patent under §271(a) will continue unless enjoined by this Court.

- 38. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is knowingly and intentionally inducing others to infringe directly the '861 patent under § 271(a), thereby inducing infringement of the '861 patent under § 271(b). InterTrust is further informed and believes that Microsoft's inducement has at least included the manner in which Microsoft has promoted and marketed use of Digital Asset Server, Microsoft Reader, and the .NET architecture. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '861 patent under §271(b) will continue unless enjoined by this Court.
- 39. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is contributorily infringing the '861 patent under § 271(c) by providing digital rights management software and related functions especially made or especially adapted for infringing use and not staple articles or commodities of commerce suitable for substantial noninfringing use, including but not limited to the Digital Asset Server and Microsoft Reader. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '861 patent under §271(c) will continue unless enjoined by this Court.
- 40. InterTrust is informed and believes, and on that basis alleges, that Microsoft is willfully infringing the '861 patent in the manner described above in paragraphs 37 through 39, and will continue to do so unless enjoined by this Court.
- InterTrust is informed and believes, and on that basis alleges, that Microsoft has 41. derived and received, and will continue to derive and receive from the aforesaid acts of infringement gains, profits, and advantages, tangible and intangible, the extent of which are not

presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has been, and will continue to be, irreparably harmed.

FIFTH CLAIM FOR RELIEF

- 42. InterTrust hereby incorporates by reference paragraphs 1-6 and 11 as if restated herein.
 - 43. This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281.
- 44. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is infringing the '900 patent under § 271(a) by Microsoft's use of the Product Activation feature of Windows XP, Office XP, and other Microsoft products. In addition, on information and belief, InterTrust alleges that Microsoft is making and using other systems and/or is in the process of developing other systems, which infringe the '900 patent under § 271(a). InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '900 patent under § 271(a) will continue unless enjoined by this Court.
- 45. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is knowingly and intentionally inducing others to infringe directly the '900 patent under § 271(a), thereby inducing infringement of the '900 patent under § 271(b). InterTrust is further informed and believes that Microsoft's inducement has at least included the manner in which Microsoft has promoted and marketed use of the Product Activation feature of Windows XP, Office XP, and other Microsoft products. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '900 patent under §271(b) will continue unless enjoined by this Court.
- 46. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is contributorily infringing the '900 patent under § 271(c) by providing digital rights management software and related functions especially made or especially adapted for infringing use and not staple articles or commodities of commerce suitable for substantial noninfringing use, including the Product Activation feature of Windows XP, Office XP, and other Microsoft products. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '900 patent under §271(c) will continue unless enjoined by this Court.

47. InterTrust is informed and believes, and on that basis alleges, that Microsoft is willfully infringing the '900 patent in the manner described above in paragraphs 44 through 46, and will continue to do so unless enjoined by this Court.

48. InterTrust is informed and believes, and on that basis alleges, that Microsoft has derived and received, and will continue to derive and receive from the aforesaid acts of infringement gains, profits, and advantages, tangible and intangible, the extent of which are not presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has been, and will continue to be, irreparably harmed.

SIXTH CLAIM FOR RELIEF

- 49. InterTrust hereby incorporates by reference paragraphs 1-6 and 12 as if restated herein.
 - 50. This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281.
- 51. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is infringing the '891 patent under § 271(a) by Microsoft's implementation of its .NET architecture. In addition, on information and belief, InterTrust alleges that Microsoft is making and using other systems and/or is in the process of developing other systems, which infringe the '891 patent under § 271(a). InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '891 patent under §271(a) will continue unless enjoined by this Court.
- 52. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is knowingly and intentionally inducing others to infringe directly the '891 patent under § 271(a), thereby inducing infringement of the '891 patent under § 271(b). InterTrust is further informed and believes that Microsoft's inducement has at least included the manner in which Microsoft has promoted and marketed use of its .NET architecture. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '891 patent under §271(b) will continue unless enjoined by this Court.
 - 53. InterTrust is informed and believes, and on that basis alleges, that Microsoft has

been and is contributorily infringing the '891 patent under § 271(c) by providing .NET software and related functions especially made or especially adapted for infringing use and not staple articles or commodities of commerce suitable for substantial noninfringing use. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '891 patent under §271(c) will continue unless enjoined by this Court.

- 54. InterTrust is informed and believes, and on that basis alleges, that Microsoft is willfully infringing the '891 patent in the manner described above in paragraphs 51 through 53, and will continue to do so unless enjoined by this Court.
- 55. InterTrust is informed and believes, and on that basis alleges, that Microsoft has derived and received, and will continue to derive and receive from the aforesaid acts of infringement gains, profits, and advantages, tangible and intangible, the extent of which are not presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has been, and will continue to be, irreparably harmed.

SEVENTH CLAIM FOR RELIEF

- 56. InterTrust hereby incorporates by reference paragraphs 1-6 and 13 as if restated herein.
 - 57. This is a claim for patent infringement under 35 U.S.C. §§ 271 and 281.
- 58. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is infringing the '912 patent under § 271(a) by Microsoft's implementation of its .NET architecture. In addition, on information and belief, InterTrust alleges that Microsoft is making and using other systems and/or is in the process of developing other systems, which infringe the '912 patent under § 271(a). InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '912 patent under §271(a) will continue unless enjoined by this Court.
- 59. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is knowingly and intentionally inducing others to infringe directly the '912 patent under § 271(a), thereby inducing infringement of the '912 patent under § 271(b). InterTrust is further informed and believes that Microsoft's inducement has at least included the manner in which

Microsoft has promoted and marketed use of its .NET architecture. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '912 patent under §271(b) will continue unless enjoined by this Court.

- 60. InterTrust is informed and believes, and on that basis alleges, that Microsoft has been and is contributorily infringing the '912 patent under § 271(c) by providing .NET software and related functions especially made or especially adapted for infringing use and not staple articles or commodities of commerce suitable for substantial noninfringing use. InterTrust is further informed and believes, and on that basis alleges, that Microsoft's infringement of the '912 patent under §271(c) will continue unless enjoined by this Court.
- 61. InterTrust is informed and believes, and on that basis alleges, that Microsoft is willfully infringing the '912 patent in the manner described above in paragraphs 58 through 60, and will continue to do so unless enjoined by this Court.
- 62. InterTrust is informed and believes, and on that basis alleges, that Microsoft has derived and received, and will continue to derive and receive from the aforesaid acts of infringement gains, profits, and advantages, tangible and intangible, the extent of which are not presently known to InterTrust. By reason of the aforesaid acts of infringement, InterTrust has been, and will continue to be, irreparably harmed.

PRAYER FOR RELIEF

WHEREFORE, InterTrust prays for relief as follows:

- A. That Microsoft be adjudged to have infringed the '683 patent under 35 U.S.C. § 271(a);
- B. That Microsoft be adjudged to have infringed the '683 patent under 35 U.S.C. § 271(b) by inducing others to infringe directly the '683 patent under 35 U.S.C. § 271(a);
- C. That Microsoft be adjudged to have contributorily infringed the '683 patent under 35 U.S.C. § 271(c);
- D. That Microsoft be adjudged to have willfully infringed the '683 patent under 35 U.S.C. §§ 271(a), (b), and (c);

1	attorney's fees to InterTrust pursuant to 35 U.S.C. § 285;	
2	R. That Microsoft be adjudged to have infringed the '861 patent under 35 U.S.C. §	
3	271(a);	
4	S. That Microsoft be adjudged to have infringed the '861 patent under 35 U.S.C. §	
5	271(b) by inducing others to infringe directly the '861 patent under 35 U.S.C. § 271(a);	
6	T. That Microsoft be adjudged to have contributorily infringed the '861 patent unde	
7	35 U.S.C. § 271(c);	
8	U. That Microsoft be adjudged to have willfully infringed the '861 patent under 35	
9	U.S.C. §§ 271(a), (b), and (c);	
0	V. That Microsoft, its officers, agents, servants, employees and attorneys, and those	
1	persons in active concert or participation with them be preliminarily and permanently restrained	
2	and enjoined under 35 U.S.C. § 283 from directly or indirectly infringing the '861 patent;	
3	W. That Microsoft be adjudged to have infringed the '900 patent under 35 U.S.C. §	
4	271(a);	
5	X. That Microsoft be adjudged to have infringed the '900 patent under 35 U.S.C. §	
6	271(b) by inducing others to infringe directly the '900 patent under 35 U.S.C. § 271(a);	
7	Y. That Microsoft be adjudged to have contributorily infringed the '900 patent unde	
8	35 U.S.C. § 271(c);	
9	Z. That Microsoft be adjudged to have willfully infringed the '900 patent under 35	
20	U.S.C. §§ 271(a), (b), and (c);	
21	AA. That Microsoft, its officers, agents, servants, employees and attorneys, and those	
22	persons in active concert or participation with them be preliminarily and permanently restrained	
23	and enjoined under 35 U.S.C. § 283 from directly or indirectly infringing the '900 patent;	
24	BB. That Microsoft be adjudged to have infringed the '891 patent under 35 U.S.C. §	
25	271(a);	
26	CC. That Microsoft be adjudged to have infringed the '891 patent under 35 U.S.C. §	
27	271(b) by inducing others to infringe directly the '891 patent under 35 U.S.C. § 271(a);	
28	DD. That Microsoft be adjudged to have contributorily infringed the '891 patent under	

1	35 U.S.C. § 271(c);	
2	EE. That Microsoft be adjudged to have willfully infringed the '891 patent under 35	
3	U.S.C. §§ 271(a), (b), and (c);	
4	FF. That Microsoft, its officers, agents, servants, employees and attorneys, and those	
5	persons in active concert or participation with them be preliminarily and permanently restrained	
6	and enjoined under 35 U.S.C. § 283 from directly or indirectly infringing the '891 patent;	
7	GG. That Microsoft be adjudged to have infringed the '912 patent under 35 U.S.C. §	
8	271(a);	
9	HH. That Microsoft be adjudged to have infringed the '912 patent under 35 U.S.C. §	
10	271(b) by inducing others to infringe directly the '912 patent under 35 U.S.C. § 271(a);	
11	II. That Microsoft be adjudged to have contributorily infringed the '912 patent under	
12	35 U.S.C. § 271(c);	
13	JJ. That Microsoft be adjudged to have willfully infringed the '912 patent under 35	
14	U.S.C. §§ 271(a), (b), and (c);	
15	KK. That Microsoft, its officers, agents, servants, employees and attorneys, and those	
16	persons in active concert or participation with them be preliminarily and permanently restrained	
17	and enjoined under 35 U.S.C. § 283 from directly or indirectly infringing the '912 patent;	
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19	LL. That this Court assess pre-judgment and post-judgment interest and costs against	
20	Microsoft, and award such interest and costs to InterTrust, pursuant to 35 U.S.C. § 284; and	
21	MM. That InterTrust have such other and further relief as the Court may deem proper.	
22	Dated: October 26, 2001 KEKER & VAN NEST, LLP	
23		
24	By:Y MICHAEL H. PAGE	
25	Attorneys for Plaintiff INTERTRUST TECHNOLOGIES	
26	CORPORATION	
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3rd AM. CMPLT FOR INFRINGEMENT OF U.S. PATENT NOS. 6,185,683 B1; 6,253,193 B1; 5,940,504; 5, 920,861; 5,892,900; 5,982,891; & 5,917,912; CASE NO. C 01 1640 SBA

DEMAND FOR JURY TRIAL

Plaintiff InterTrust herby demands a trial by jury as to all issues triable by jury, specifically including, but not limited to, the issue of infringement of United States Patent Nos. 6,185,683 B1; 6,253,193 B1; 5,940,504; 5,920,861; 5,892,900; 5,982,891; and 5,917, 912.

Dated: October 26, 2001

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KEKER & VAN NEST

By:

MICHAEL H. PAGE Attorneys for Plaintiff INTERTRUST TECHNOLOGIES **CORPORATION**